

ARTICLE 73-02

SECURITIES ACT OF 1951

Chapter	
73-02-01	Registration of Securities
73-02-02	Exempt Securities [Repealed]
73-02-03	Exempt Transactions
73-02-04	Advertising Matter
73-02-05	Unlawful Representations Concerning Registration or Exemption
73-02-06	Registration of Dealers, Salesmen, and Investment Advisers
73-02-07	Records
73-02-08	Fees and Charges
73-02-09	Fraudulent and Unethical Sales Practices and Manipulative Conduct

CHAPTER 73-02-01 REGISTRATION OF SECURITIES

Section	
73-02-01-01	Small Corporate Offering Registration

73-02-01-01. Small corporate offering registration. Small corporate offering registration (SCOR) filings may be used for registration applications and exemption applications for corporations that issue securities exempt from federal registration under rule 504 of regulation D of the securities and exchange commission rules. Form U-7, as adopted by the North American securities administrators association, inc., on April 29, 1989, is adopted for this purpose.

History: Effective September 1, 1990; amended effective January 1, 1998.

General Authority: NDCC 10-04-03

Law Implemented: NDCC 10-04-03